FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

|   | OMB APPRO                | OVAL |  |  |  |  |  |  |  |
|---|--------------------------|------|--|--|--|--|--|--|--|
|   | OMB Number: 3235-028     |      |  |  |  |  |  |  |  |
| l | Estimated average burden |      |  |  |  |  |  |  |  |
| l | hours per response:      | 0.5  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) 2. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person CAPITAL SOUTHWEST CORP [ cswc ] **BATTIST CHRISTINE** Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) below) below) (Last) (First) (Middle) 08/28/2019 C/O CAPITAL SOUTHWEST CORPORATION 5400 LYNDON B JOHNSON FWY STE 1300 6. Individual or Joint/Group Filing (Check Applicable Line) 4. If Amendment, Date of Original Filed (Month/Day/Year) (Street) Form filed by One Reporting Person 75240 **DALLAS** TX Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 7. Nature of Indirect 2A. Deemed Execution Date, 6. Ownership Form: Direct 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2. Transaction 5. Amount of Date Transaction Securities

|  | (Month/Day/Year)   if any   Code (Instr.   8) |  |      |   |        | Beneficially<br>  Owned Following<br>  Reported | (I) (Instr. 4)                        | Beneficial<br>Ownership<br>(Instr. 4) |   |            |  |
|--|---|--|------|---|--------|---|---------------------------------------|---------------------------------------|---|------------|--|
|  |   |  | Code | v | Amount | (A) or<br>(D)                                   | Price Transaction(s) (Instr. 3 and 4) |                                       |   | (111501.4) |  |
| Common Stock   | 08/28/2019                                    |  | P    |   | 910    | A   | \$21.8549                             | 2,704                                 | D |            |  |
| Table II. Derivative Securities Acquired Disposed of or Peneficially Owned |   |  |      |   |        |   |                                       |                                       |   |            |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

5. Number

6. Date Exercisable and

|  | Security<br>(Instr. 3) | or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year) | if any<br>(Month/Day/Year) | Code (Instr.<br>8) |     | Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |                     | (Month/Day/Year) |       | Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |  | Security<br>(Instr. 5) | Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |
|--|------------------------|---|------------------|----------------------------|--------------------|-----|--|---------------------|------------------|-------|--|--|------------------------|--|--|---------------------------------------|
|  |                        |   |                  | Code                       | v                  | (A) | (D)  | Date<br>Evercisable | Expiration       | Title | Amount<br>or<br>Number<br>of   |  |                        |  |  |                                       |

**Explanation of Responses:** 

Remarks:

1. Title of

/s/ Christine S. Battist 08/30/2019

8. Price of

\*\* Signature of Reporting Person

7. Title and

Date

9. Number of

10.

11. Nature

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3A. Deemed

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

3. Transaction

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.