SEC Form 4
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Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
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STATEMENT	OF	CHANGES	IN	BENEFICIAL	OWNERSHIP
	<b>•</b> ••				

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			01 56	cuon 30(n) oi the ir	ivestment Cor	npany Act of 1940					
1. Name and Address of Reporting Person <sup>*</sup> Rogers-Windsor Ramona Lynn				uer Name <mark>and</mark> Tick PITAL SOUT	0	Symbol CORP [ CSWC ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
<u>Rugers-w</u>		<u>ma Lymn</u>					X	Director	10% 0	Owner	
(Last) (First) (Middle)		11/0	te of Earliest Trans 2/2023	action (Month/	Day/Year)		Officer (give title below)	Other below	(specify /)		
C/O CAPITAL SOUTHWEST CORPORATION			ΓΙΟΝ 4. If A	mendment, Date o	f Original Filed	l (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
0333 D00G	8333 DOUGLAS AVE, SUITE 1100						X	Form filed by On	e Reporting Per	rson	
(Street) DALLAS	ТХ	75225						Form filed by Mo Person	re than One Re	porting	
DALLAS	17	/ 5225	Rul	e 10b5-1(c)	Transact	ion Indication					
(City)	(State)	(Zip)		Check this box to indic	ate that a trans	action was made pursuant t ns of Rule 10b5-1(c). See I	o a contra nstructior	act, instruction or writt 1 10.	en plan that is int	ended to	
		Table I - Nor	n-Derivative S	Securities Acq	uired, Dis	posed of, or Bene	ficially	Owned			
1. Title of Secu	rity (Instr. 3)		2. Transaction Date	2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A Disposed Of (D) (Instr. 3,		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	

	(Month/Day/Year)	(Month/Day/Year)	8)	instr.	, ·			Owned Following Reported		Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	11/02/2023		Р		500	Α	\$21.67	11,163.9609	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y			Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

**Remarks:** 

/s/ Ramona L. Rogers-Windsor

11/02/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.