FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | |
|--------------------------|----------|--|--|--|--|--|--|--|
| OMB Number: | 3235-028 | | | | | | | |
| Estimated average burden | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OND AFFROVAL | | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|--|
| OMB Number: 3235-02 | | | | | | | | |
| Estimated average burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

| 1. Name and Address of Reporting Person* FURST JACK D (Last) (First) (Middle) C/O CAPITAL SOUTHWEST CORPORATION 5400 LYNDON B. JOHNSON FWY, SUITE 1300 (Street) | | | | | | Susuer Name and Ticker or Trading Symbol CAPITAL SOUTHWEST CORP [CSWC] Date of Earliest Transaction (Month/Day/Year) 09/13/2016 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | (C | Check all ap X Dire Offi belo Individual ne) | plicable) ctor cer (give title w) or Joint/Grou | 10 Or be | Person(s) to Issuer 10% Owner Other (specify below) Filing (Check Applicable Reporting Person | |
|--|---|--|------------------|----------------------------------|---------------------------------|--|---------|------------------------|---|--|------------|---|---|--|---|--|----------------|---|--|
| DALLAS (City) | | | 75240 Zip) | | | I | | | | | | | | | n filed by More than One Reporting | | | | |
| (City) | (31 | | | 2 Doriv | rotivo | <u> </u> | ouritio | - Δο | nuirod | Dia | 20004.0 | for | Pono | ficia | Illy Own | | | | |
| 1. Title of Security (Instr. 3) 2. Trai | | | 2. Trans Date | ansaction 2 Enth/Day/Year) if | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transa Code (| ction | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4) | | | | 5. Am Secu Bene | ount of ities icially d Following | 6. Ownersh Form: Direc (D) or Indire (I) (Instr. 4) | of Indirect | | |
| | | | | | | | | | Code | v | Amount | () () | A) or D) | Price | Trans | action(s) 3 and 4) | | (1130.4) | |
| Common Stock 0 | | | | | /2016 | | | | P | | 2,700 | | Α | \$14 | .9 | 10,800 | | | |
| Common Stock 09/14 | | | | | 4/2016 | /2016 | | | P | | 900 | | Α | \$14 | .9 | 11,700 | | | |
| Common Stock 09/15 | | | | | 5/2016 | | | | P | | 5,400 | | A | \$14 | .9 | 17,100 | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | on se (Month/Day/Year) Execution Date, if any (Month/Day/Year) Execution Date, if any (Month/Day/Year) Solution D | | | ative rities ired osed | 6. Date E Expiratio (Month/D | n Date | • | Amount of Securities Underlying Derivative Security (Instr. and 4) | | unt ber | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Owners Form: Direct (or Indir (I) (Inst | Beneficial Ownership ect (Instr. 4) | | | | |

Explanation of Responses:

/s/ Jack D. Furst

09/15/2016

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.