SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Armes Joseph B			Date of Event equiring Staten Ionth/Day/Year 6/17/2013	nent	3. Issuer Name and Ticker or Trading Symbol <u>CAPITAL SOUTHWEST CORP</u> [ CSWC ]							
(Last) 12900 PREST	(First) (Middle) RESTON ROAD			4. Relationship of Reporting Person(s) to Issue (Check all applicable) X Director 10% Owne				5. If Amendment, Date of Original Filed (Month/Day/Year)				
SUITE 700					х	Officer (give title below)	Other (spe below)	cify	Appli	cable Line)	/Group Filing (Check	
(Street) DALLAS	ТХ	75230				President			X		y One Reporting Person y More than One erson	
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Beneficially Owned												
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock						0 D						
Table II - Derivative Securities Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)			itle and Amount of Securit derlying Derivative Securit	ty (Instr. 4) Con or E		cise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
Evaluation of 2			Date Exercisable	Expiratio Date	n Title	e	Amount or Number of Shares	Price of Derivati Security	ive	Direct (D) or Indirect (I) (Instr. 5)		
Explanation of Responses:												

/s/ Joseph B. Armes

\*\* Signature of Reporting Person

Date

06/17/2013

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.